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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	MAT-8475US
First Inventor	Masahiko HATANAKA et al.
Title	APPARATUS AND METHOD FOR COMPRESSING IMAGE DATA
Express Mail Label No.	EV 351 884 842 US

17858 U.S. PTO  
10/721389

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.		Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification [Total Pages <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( <i>if filed</i> ) - Detailed Description - Claim(s) - Abstract of the Disclosure	15	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 6]		ACCOMPANYING APPLICATIONS PARTS	
5. Oath or Declaration [Total Pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <input checked="" type="checkbox"/> Unexecuted Declaration	3	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> ) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
 Prior application information    Examiner \_\_\_\_\_    Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Insert Customer Number: 23122		OR <input checked="" type="checkbox"/> Correspondence address below		
Name	LAWRENCE E. ASHERY			
Address	SUITE 301, ONE WESTLAKES, BERWYN P.O. BOX 980			
City	VALLEY FORGE	State	PA	Zip Code
Country	USA	Telephone	(610) 407-0700	Fax
Name (Print/Type)	Lawrence E. Ashery	Registration No. (Attorney/Agent)	34,515	
Signature			Date	November 25, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22314-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22314-1450.

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112503  
11696 U.S. PTO

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**770.00**

## Complete if Known

Application Number	To Be Assigned
Filing Date	November 25, 2003
First Named Inventor	Masahiko HATANAKA et al.
Examiner Name	To Be Assigned
Art Unit	To Be Assigned

Attorney Docket No. **MAT-8475US**

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None
 Deposit Account:Deposit Account Number  
**18-0350**Deposit Account Name  
**RatnerPrestia**

The Commissioner is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) during the pendency of this application
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	770	2001	385
			Utility filing fee
1002	340	2002	170
			Design filing fee
1003	530	2003	265
			Plant filing fee
1004	750	2004	385
			Reissue filing fee
1005	160	2005	80
			Provisional filing fee
SUBTOTAL (1)			<b>(\$ 770.)</b>

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	<b>11</b>	-20**	= <b>0</b>	X <b>18</b> = <b>0</b>
Independent Claims	<b>2</b>	-3**	= <b>0</b>	X <b>86</b> = <b>0</b>
Multiple Dependent			X	= <b>0</b>

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
1202	18	2202
		9
		Claims in excess of 20
1201	86	2201
		43
		Independent claims in excess of 3
1203	290	2203
		145
		Multiple dependent claim, if not paid
1204	86	2204
		43
		** Reissue independent claims over original patent
1205	18	2205
		9
		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<b>(\$ 770.)</b>

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
			Surcharge - late filing fee or oath
1052	50	2052	25
			Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130
			Non-English specification
1812	2,520	1812	2,520
			For filing a request for ex parte reexamination
1804	920*	1804	920*
			Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*
			Requesting publication of SIR after Examiner action
1251	110	2251	55
			Extension for reply within first month
1252	420	2252	210
			Extension for reply within second month
1253	950	2253	475
			Extension for reply within third month
1254	1,480	2254	740
			Extension for reply within fourth month
1255	2,010	2255	1,005
			Extension for reply within fifth month
1401	330	2401	165
			Notice of Appeal
1402	330	2402	165
			Filing a brief in support of an appeal
1403	290	2403	145
			Request for oral hearing
1451	1,510	1451	1,510
			Petition to institute a public use proceeding
1452	110	2452	55
			Petition to revive – unavoidable
1453	1,330	2453	665
			Petition to revive – unintentional
1501	1,330	2501	665
			Utility issue fee (or reissue)
1502	480	2502	240
			Design issue fee
1503	640	2503	320
			Plant issue fee
1460	130	1460	130
			Petitions to the Commissioner
1807	50	1807	50
			Processing fee under 37 CFR 1.17(q)
1806	180	1806	180
			Submission of Information Disclosure Stmt
8021	40	8021	40
			Recording each patent assignment per property (times number of properties)
1809	770	2809	385
			Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810	385
			For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801	385
			Request for Continued Examination (RCE)
1802	900	1802	900
			Request for expedited examination of a design application
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	<b>(\$ 0)</b>

## Complete if Known

SUBMITTED BY		Complete if applicable	
Name (Print/Type)	<b>Lawrence E. Ashery</b>	Registration No. Attorney/Agent	<b>34,515</b>
Signature	<i>Lawrence E. Ashery</i>	Telephone	<b>(610) 407-0700</b>

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			<b>Docket N.</b>
Applicant(s): Masahiko HATANAKA et al.			MAT-8475US
<b>Serial N.</b> TO BE ASSIGNED	<b>Filing Date</b> November 25, 2003	<b>Examiner</b> TO BE ASSIGNED	<b>Group Art Unit</b> TO BE ASSIGNED
<b>Invention:</b>			
<b>APPARATUS AND METHOD FOR COMPRESSING IMAGE DATA</b>			
<p>I hereby certify that this <b>UTILITY TRANSMITTAL FORM WITH INDICATED ENCLOSURES</b> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on: <b>November 25, 2003</b>.</p> <hr/> <p style="text-align: center;"><b>KATHLEEN LIBBY</b> (Typed Or Printed Name of Person Mailing Correspondence)</p> <hr/> <p style="text-align: center;"> (Signature of Person Mailing Correspondence)</p> <hr/> <p style="text-align: center;"><b>EV 351 884 842 US</b> ("Express Mail" Mailing Label Number)</p>			
<p><b>Note:</b> Each paper must have its own certificate of mailing.</p>			